

FEB 21 2007

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Company: United States Patent & Trademark Office
Fax Number: (571) 273-8300

From: Daniel C. Kloke
Phone Number: (650) 812-3440
Fax Number: (650) 812-3444

RE: Our Ref: PA3996US

Date: February 21, 2007

Total pages: 7 (includes cover sheet)

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MESSAGE:

Please see attached.

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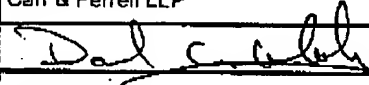
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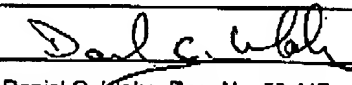
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/852,244	
	Filing Date	May 10, 2001	
	First Named Inventor	Gordon Good	
	Art Unit	2137	
	Examiner Name	Paul E. Callahan	
Total Number of Pages in This Submission	6	Attorney Docket Number	PA3996US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2) Copy of Assignment (2 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	3) Change of Correspondence Address (PTO/SB/122)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Carr & Ferrell LLP	
Signature		
Printed name	Daniel C. Kioke	
Date	February 21, 2007	Reg. No. 58,417

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Daniel C. Kioke, Reg. No. 58,417	Date February 21, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gordon Good

TITLE: Security Policy Management for Network Devices

SERIAL NO.: 09/852,244

FILING DATE: May 10, 2001

EXAMINER: Paul E. Callahan

ART UNIT: 2137

ATTY.DKT.NO.: PA3996US

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COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

REVOCATION AND POWER OF ATTORNEY

I, the undersigned, Jordan Breslow, General Counsel of Opware Inc., the assignee of the entire right, title and interest in the above-referenced United States patent application, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the above-referenced U.S. patent application and hereby appoint the agents and attorneys associated with Customer Number 22830 to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please direct all communication relative to this patent application to the following correspondence address:

Customer Number 22830
CARR & FERRELL LLP
2200 Geng Road
Palo Alto, CA 94303
TEL: 650.812.3400
FAX: 650.812.3444

Respectfully submitted,

Date:

2/20/07



Jordan Breslow, General Counsel
Opware Inc.

PTO/SB/06 (12-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Gordon GoodApplication No./Patent No./Control No.: 09/852,244 Filed/Issue Date: May 10, 2001Entitled: Security Policy Management for Network DevicesOpware Inc.

(Name of Assignee)

a corporation

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Gordon Good et al. To: LoudCloud, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 018819, Frame 0192, or for which a copy thereof is attached.
2. From: LoudCloud, Inc. To: Opware Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jordan Breslow
Signature
Jordan Breslow
Printed or Typed Name
General Counsel
Title

2/20/07
Date
408-744-7300
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Delaware

PAGE 1

The First State

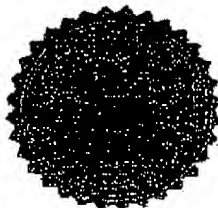
I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "LOUDCLOUD, INC.", CHANGING ITS NAME FROM "LOUDCLOUD, INC." TO "OPSWARE INC.", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF AUGUST, A.D. 2002, AT 5 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE SIXTEENTH DAY OF AUGUST, A.D. 2002.

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3094394 8100

060301713



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 4632535

DATE: 03-30-06

SENT BY: LOUDCLOUD INC.;

4087447384;

AUG-14-07 12:12PM;

PAGE 2/2

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 05:00 PM 08/14/2007
020516079 - 3094394

CERTIFICATE OF AMENDMENT

OF

THE AMENDED AND RESTATED CERTIFICATE OF
INCORPORATION

OF

LOUDCLOUD, INC.

Pursuant to Section 242 of the General
Corporation Law of the State of Delaware

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LOUDCLOUD, INC., a Delaware corporation (hereinafter called the "Corporation"), does hereby certify
as follows:

FIRST: Article 1 of the Corporation's Amended and Restated Certificate of Incorporation is hereby
amended to read in its entirety as set forth below:

The name of the Corporation is Opware, Inc.

SECOND: The foregoing amendment was duly adopted in accordance with Section 242 of the General
Corporation Law of the State of Delaware.

THIRD: This Certificate of Amendment of the Amended and Restated Certificate of Incorporation of
Loudcloud, Inc. shall be effective on August 16, 2002.

IN WITNESS WHEREOF, the Corporation has caused this Certificate to be signed by the President and
Chief Executive Officer of the Corporation on this 14th day of August, 2002.

Loudcloud, Inc.

By: 

Name: Benjamin A. Horowitz
Title: President and Chief
Executive Officer

(M) 13241102/MTS/CyrisBates_of Loudcloud.doc